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January 18, 2001

BOX PATENT APPLICATION Assistant Director For Patents Washington, D.C. 20231

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Derrick T. Gordon

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Signature

January 18, 2001 Date of Signature

Re: New U.S. Patent Application

OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED

DEVICE

By: Koujirou SEKINE, Mitsuru YOKOYAMA, Miyuki

TERAMOTO, Kyu TAKADA, Takuji HATANO, Shinji MARUYAMA,

and Tsuyoshi IWAMOTO

Attorney Docket: 15162/03060

Dear Sir:

Enclosed for filing are the following papers relating to OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE, Koujirou SEKINE, Mitsuru YOKOYAMA, Miyuki TERAMOTO, Kyu TAKADA, Takuji HATANO, Shinji MARUYAMA, and Tsuyoshi IWAMOTO, inventors:

- Specification (27 pages, including 15 claims and an (1)abstract);
- (2) Unexecuted Declaration and Power of Attorney (12 pages);
- (3) Formal Drawings (15 sheets);

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- (4) Transmittal letter (1 page) with PrintEFS Patent Application Bibliographic Data (3 pages);
- (5) Transmittal letter (1 page) with two Certified Copies of Priority Documents (19 pages and 40 pages); and
- (6) Information Disclosure Statement (3 pages) with attached PTO-1449 form (1 page) and copies of the cited references (16 references).

The amount of the filing fee is calculated below:

Fee	No. filed	No. extra	Fee for small entity			Fee for other than small entity	
			Rate	Fee	OR	Rate	Fee
Basic fee				\$355	OR		\$710
Total claims	15-20=	0	X \$9=	\$0	OR	X \$18=	S-0-
Independent claims	4-3=	1	X \$40=	\$0	OR	X \$80=	\$80
Multiple Dependent Claim Presented	0	0	+\$135=	\$0	OR	+\$270=	\$-0-
	·	<u> </u>	Total	\$0	OR	Total	\$790

<sup>\*</sup>If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fee (\$790.00 for the application filing fee) to Sidley & Austin's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

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All correspondence is to be directed to the address associated with the customer number 24367.

Respectfully submitted,

Bv:

James W. Williams

Registration No. 20,047 Attorney for Applicants

JWW/mhg Enclosures